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10/531395

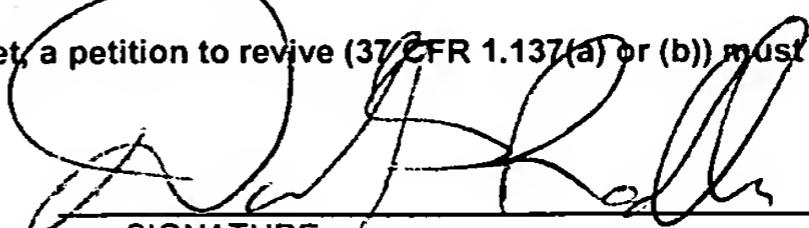
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 460868.00020
INTERNATIONAL APPLICATION NO. PCT/EP02/06077	INTERNATIONAL FILING DATE 04 June 2002 (04.06.02)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/531,658
PRIORITY DATE CLAIMED 21 June 2002 (21.06.01)		
TITLE OF INVENTION METHOD AND DEVICE FOR OBTAINING FATTY ACID ESTERS FROM NATIVE OILS AND FATS BY MEANS OF THE ENZYMIC SEPARATION THEREOF		
APPLICANT(S) FOR DO/EO/US BRUNNER, Karlheinz; FRISCHE, Rainer; RICKER, Rainer; KASKE, Corinna; KILIAN, Dirk		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input type="checkbox"/> The US has been elected (Article 31). <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Copy of Formalities Notice; Response to Notification of Miss. Reqs.; Postcard Receipt 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/531,658		INTERNATIONAL APPLICATION NO. PCT/EP02/06077		ATTORNEY'S DOCKET NUMBER 460868.00020	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee..... \$300				\$	
22. <input type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$	
23. <input type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$	
TOTAL OF 21, 22 and 23 =				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =		x \$ 50	\$	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					
				SUBTOTAL =	\$
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 130.00	
				TOTAL NATIONAL FEE =	\$ 260.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
				TOTAL FEES ENCLOSED =	\$ 260.00
05/22/2006 MKAYPAGH 00000173 170055 10531658				Amount to be refunded:	\$
01 FC:1617 130.00 DA 02 FC:1618 130.00 DA				Amount to be charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>17-0055</u> in the amount of \$ <u>260.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>17-0055</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
QUARLES & BRADY LLP 411 E. Wisconsin Ave. Milwaukee, WI 53202 (414) 277-5000 (414) 271-3552 (Fax)					
 SIGNATURE Daniel G. Radler NAME 43,028 REGISTRATION NUMBER					

EXPRESS MAIL LABEL NO. EV833277181US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

USSN: 10/531,658
PCT Application: PCT/EP02/06077
International
I.A. Filing Date: 04 June 2002
Priority Date: 21 June 2001
Applicant: BRUNNER, Karlheinz, et al.
Docket No.: 460868.00020

UNITED STATES PATENT AND
TRADEMARK OFFICE
Mail Stop PCT
P.O. BOX 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 under date of mailing of 28 March 2006, a copy of which is attached hereto and incorporated herein by reference, applicants hereby provide the following information and/or documentation:

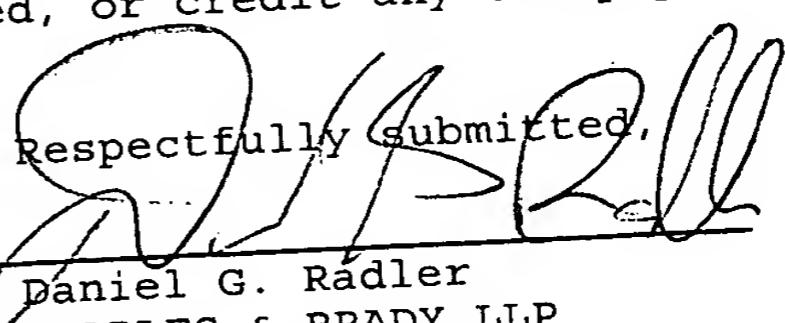
1. Declaration of the Inventors signed by Applicants Karlheinz BRUNNER, Rainer FRISCHE, Rainer RICKER, Corinna KASKE, and Dirk KILIAN. A copy of the Declaration in German and English is attached. Please note that the untranslated German language text has been removed from the original Declaration.
2. Translation of the application into English. Please note that the title has been translated, and the German text, Dr. Frische GmbH, has been removed, along with the footnotes appearing on pages 10 and 22.

The Commissioner is hereby authorized to charge Deposit Account 17-0055 in the amount of \$260.00 for the fee required for furnishing the oath or declaration and the translation of the application later than 30 months from the earliest claimed priority date pursuant to 37 CFR 1.492(3). The Commissioner is further authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 17-0055.

17 May 2006

By:

Daniel G. Radler
QUARLES & BRADY LLP
411 East Wisconsin Avenue
Milwaukee, WI 53202
Reg. No.: 43,028
(414) 277-5749

Respectfully submitted,




UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/531,658	Karlheinz Brunner	460868.00020
INTERNATIONAL APPLICATION NO.		
PCT/EP02/06077		
I.A. FILING DATE	PRIORITY DATE	
06/04/2002	06/21/2001	
CONFIRMATION NO. 1917		
371 FORMALITIES LETTER		
 OC000000018387619		

Date Mailed: 03/28/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/15/2005
- Copy of the International Search Report filed on 04/15/2005
- Preliminary Amendments filed on 04/15/2005
- Information Disclosure Statements filed on 04/15/2005
- U.S. Basic National Fees filed on 04/15/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

RECEIVED: 3.31.06
 QUARLES & BRADY LLP
 Intellectual Property Dept.
 RESPONSE DUE: 5.28.06

Total additional fees required for this application is \$260 for a Large Entity:

- \$130 Surcharge.
- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/531,658	PCT/EP02/06077	460868.00020

FORM PCT/DO/EO/905 (371 Formalities Notice)



Certification of Translation Accuracy

I, Mary Shillue, hereby certify that the attached document, to the best of my knowledge and belief, is a true, accurate and complete translation from German into English of "A Process and Device For Obtaining Fatty Acid Esters From Native Oils And Fats By Their Enzymatic Hydrolysis," consisting of 27 pages, excluding drawings.

Signed:

A handwritten signature of Mary Shillue, appearing as a cursive line of black ink.

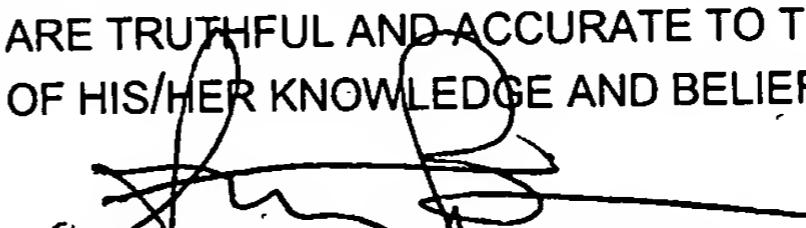
Name: Mary Shillue

Title: Senior Project Manager

Translation Agency: RIC International, Inc.
432 Columbia Street, Cambridge, MA 02141

Date: May 16, 2006

ON THIS 16TH DAY OF MAY, 2006,
BEFORE ME, ANN BERMAN, THE
UNDERSIGNED NOTARY PUBLIC,
PERSONALLY APPEARED MARY SHILLUE,
PROVED TO ME THROUGH SATISFACTORY
EVIDENCE OF IDENTIFICATION WHICH
WERE MASSACHUSETTS STATE ID, TO
BE THE PERSON WHOSE NAME IS SIGNED
ABOVE, AND WHO SWORE OR AFFIRMED TO
ME THAT THE CONTENTS OF THE DOCUMENT
ARE TRUTHFUL AND ACCURATE TO THE BEST
OF HIS/HER KNOWLEDGE AND BELIEF.


Ann Berman, Notary Public
MY COMMISSION EXPIRES MAY 18, 2008.

